

# BROWARD COUNTY RYAN WHITE PART A PROGRAM

Mental Health Service Delivery Model



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## I. Service Definitions

### HRSA Definition<sup>1</sup>

Mental Health Services (MHS) are the provision of outpatient psychological and psychiatric screening, assessment, diagnosis, treatment, and counseling services offered to clients living with HIV. Services are based on a treatment plan, conducted in an outpatient group or individual session, and provided by a mental health professional licensed or authorized within the state to render such services. Such mental health professionals typically include psychiatrists, psychologists, and licensed clinical social workers.

## **Local Definition**

MHS are psychotherapeutic services offered to individuals with a diagnosed mental illness, conducted in a group or individual setting, and provided by a mental health professional licensed or authorized within the State of Florida to render such services. These services are grounded in an understanding of and responsiveness to the impact of trauma; emphasizes physical, psychological, and emotional safety for both providers and survivors; and creates opportunities for clients to rebuild a sense of control and empowerment.

# II. Key Service Components and Activities

In addition to the Mental Health Service Delivery Model (SDM), all providers must adhere to the minimum requirements set forth in the <u>Broward County Ryan White Part A Universal SDM</u>. Providers are subject to <u>Florida's Statute Title XXIX</u>, <u>Chapter 394</u><sup>2</sup>. Per Florida Law, professional staff providing treatment, counseling, or support group facilitation must be a licensed professional or supervised by a licensed professional. Providers must also adhere to standards and requirements set forth in the <u>Broward County</u>, <u>Human Services Department</u>, <u>Community Partnerships Division Provider Handbook for Contracted Services Providers</u>, <u>Florida Medicaid Community Behavioral Health Services Coverage and Limitations Handbook</u>, individual contracts, and applicable contract adjustments. Providers must refer to their individual contract for service-specific client eligibility requirements. Providers of MHS are expected to comply with applicable State and/or Federal standards and guidelines relevant to services delivered within this service category.

# **Trauma-Informed Approach to Service Delivery**

MHS must be rendered with a trauma-informed approach, acknowledging that traumas may have occurred or be active in clients' lives and can manifest physically, mentally, and/or behaviorally. Trauma-informed services are grounded in an understanding of and responsiveness to the impact of trauma; emphasizes physical, psychological, and emotional safety for both providers and survivors; and creates opportunities for clients to rebuild a sense of control and empowerment. Providers must focus on prevention strategies that avoid re-traumatization in treatment, promote resilience, and prevent the development of trauma-related disorders.

<sup>&</sup>lt;sup>1</sup> Ryan White HIV/AIDS Program Services: Eligible Individuals & Allowable Uses of Funds Policy Clarification Notice (PCN) #16-02. Health Resources and Services Administration (HRSA)/ HIV/AIDS Bureau (HAB). October 22, 2018. <a href="https://hab.hrsa.gov/sites/default/files/hab/program-grants-management/ServiceCategoryPCN">https://hab.hrsa.gov/sites/default/files/hab/program-grants-management/ServiceCategoryPCN</a> 16-02Final.pdf.

<sup>&</sup>lt;sup>2</sup> FLA. STAT. § 394.

# **III. Broward Outcomes and Indicators**

Table 1. Outcomes, Indicators, and Measure

	Outcomes	Indicators	Measure
1.	Improvement in client's symptoms and/or behaviors associated with primary mental health diagnosis.	1.1. 85% of clients achieve treatment plan goals by designated target date.	1.1.1. Treatment plan documented in the designated HIV Management Information System (MIS).
2.	Increased access, retention, and adherence to primary medical care.	2.1. 85% of clients are retained in primary medical care.	2.1.1. Client appointment record in designated HIV MIS.

# IV. Assessment and Treatment Plan

# Assessment<sup>3</sup>

During the first encounter with a client, the provider must establish a provisional diagnosis and treatment plan goal. Prior to the development of a comprehensive treatment plan, providers must conduct a biopsychosocial assessment. The biopsychosocial assessment must be completed in the designated HIV MIS within 30 calendar days of the first encounter with a client and be reviewed and signed by a licensed professional. The biopsychosocial assessment, at minimum, must include the following:

- Presenting problems
- Primary care post-traumatic stress disorder (PC-PTSD) screening<sup>4</sup>
- Biological factors
- Psychological factors
- Social factors
- Summary of findings
- Diagnostic impression
- Treatment recommendations

When clinically indicated, additional assessments may be completed as indicated within the Florida Medicaid Community Behavioral Health Services Coverage and Limitations Handbook.

#### Treatment Plan<sup>3</sup>

Providers must work with each client to develop an individualized treatment plan based on the needs identified in the biopsychosocial assessment. The treatment plan must be goal-oriented with measurable objectives. The provider must assist the client to define goals and document the progress and assistance provided to the client. Treatment plans become effective on the date the plan is signed and dated by the licensed professional and the client.

<sup>&</sup>lt;sup>3</sup> Agency for Health Care Administration. Florida Medicaid Community Behavioral Health Services Coverage and Limitations Handbook. 2014.

https://www.flrules.org/gateway/readRefFile.asp?refId=7455&filename=ACHA%20behavioral%20health%20handbook.pdf

<sup>&</sup>lt;sup>4</sup> Health Resources and Services Administration. *Primary Care PTSD Screen for DSM-5 (PC-PTSD-5)*. https://www.hrsa.gov/behavioral-health/primary-care-ptsd-screen-dsm-5-pc-ptsd-5.

Treatment plans must contain, at minimum, the following components:

- The client's diagnosis code(s) consistent with assessments
- A list of the services to be provided to client (treatment plan development and review, and evaluation/assessment services provided to establish a diagnosis; however, information gathered by the provider for the development of the treatment plan need not be listed)
- The amount, frequency, and duration of each service to be provided to the patient as part of the six-month in duration treatment plan (e.g., four units of therapeutic behavioral onsite services two days per week for six months). It is not permissible to use the terms "as needed," "p.r.n.," or to state that the client will receive a service "x to y times per week"
- Goals that are individualized, strength-based, and appropriate to the client's diagnosis, age, culture, strengths, abilities, preferences, and needs, as expressed by the client
- Measurable objectives with target completion dates identified for each goal
- Dated signature of the client or client's parent, guardian, or legal custodian (if client is under 18 years of age)
- Dated signature of licensed provider
- A signed and dated statement by the licensed professional stating services are medically necessary and appropriate to the client's diagnosis and needs
- Discharge criteria (individualized, measurable criteria that identify the client's readiness to transition to a new level of care or out of care)

### Treatment Plan Review<sup>3</sup>

A formal review of the treatment plan must be conducted every six months, at a minimum. Treatment plans may be reviewed more than once every six months when significant changes occur. The treatment plan review requires the participation of the client and the treatment team members identified in the client's individualized treatment plan. Activities, notations of discussions, findings, conclusions, and recommendations must be documented during the treatment plan review. Any modifications or additions to the treatment plan made during the review must be documented. The treatment plan must be signed and dated by a licensed professional and the client.

The formal treatment plan review must contain, at minimum, the following components:

- Current diagnosis code(s) and justification for any changes in diagnosis
- Client progress toward meeting individualized goals and objectives
- Client progress toward meeting individualized discharge criteria
- Updates to aftercare plan
- Findings/interpretive summary
- Recommendations
- Dated signature of the client or client's parent, guardian, or legal custodian (if client is under 18 years of age)
- Dated signature of licensed professional who participated in the review of the plan
- A signed and dated statement by the licensed professional stating services are medically necessary and appropriate to the client's diagnosis and needs

# V. Standards for Service Delivery

Table 2. Mental Health Standards for Service Delivery

Standard		Measure		
1.	Client is asked to give express and informed consent for treatment.	1.1.	Signed informed consent form in the client file.	
2.	Provider conducts a biopsychosocial assessment with each client prior to the development of a treatment plan within 30 calendar days of the first encounter.	2.1.	Completed biopsychosocial assessment signed by licensed professional in the designated HIV MIS.	
3.	Provider works with each client to develop a detailed treatment plan.	3.1.	Treatment plan signed and dated by licensed professional and client in the designated HIV MIS.	
4.	Provider conducts a formal treatment plan review at least every six months.	4.1.	Updated treatment plan with signature and date of licensed professional and client in the designated HIV MIS.	
5.	Assistance provided to client and progress made toward achieving treatment plan goals is documented in the client file within three business days of meeting with the client.	5.1.	Documentation of client communication, services provided, and progress made towards treatment plan goals in the designated HIV MIS.	
6.	All client communication is documented in client file and include: a date, length of time spent with client, person(s) included in the encounter, summary of what was communicated, and provider signature.	6.1.	Detailed documentation with provider signature of all client communication in the client file.	
7.	Progress notes in the client file are linked to a treatment plan goal.	7.1.	Progress notes in the designated HIV MIS.	